

ATTORNEY DOCKET NO.: 044574-5040-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	Patrick C. KUNG et al.)	
Applic	eation No.: 09/830,033)	Group Art Unit: 1631
Filed:	October 22, 2001)	Examiner: Cheyne D. Ly
For:	PHYTOMICS: A GENOMIC-BASED APPROACH TO HERBAL COMPOSITIONS)	
	nissioner for Patents ngton, D.C. 20231		

Sir:

DECLARATION UNDER 37 C.F. ∋1.132

I, Dan Theodorescu, M.D., Ph.D., declare as follows:

- I am presently Director of the Paul Mellon Prostate Cancer Institute, Professor of
 the Department of Molecular Physiology and Biological Physics, Professor of the
 Department of Urology, the Paul Mellon Chair in Urologic Oncology, an
 Attending Urologist at University Hospital, and Urologic Oncologist at the Cancer
 Center, all located at the University of Virginia, Charlottesville, Virginia. A copy
 of my curriculum vitae is attached as Exhibit A.
- İ have read and am familiar with International Patent Application No. WO 00/24934, entitled Phytomics: A Genomic-Based Approach to Herbal Compositions, with inventors Patrick C. KUNG and Young Chi CHENG, and applicant Yale University ("the Yale patent application"), originally filed on October 23, 1998. I have been informed that the Yale patent application is

PATENT Page 2 of 7

licensed exclusively to PhytoCeutica Inc., a company headquartered in New Haven, Connecticut. The Yale patent application discloses and claims a method of evaluating the biological equivalency for the purpose of quality control of an herbal composition, wherein the method includes comparing the genomic expression pattern (i.e., test bioresponse array) generated by exposing a biosystem to the herbal composition to a genomic expression pattern (i.e., standardized herbal bioresponse array) collected from the same or substantially the same herbal composition that is considered the botanical standard.

- I have reviewed and understand the claims 83 87 that are presently pending in the Yale patent application.
- 4. I have read and understand the Final Office Action that was mailed by the U.S. Patent and Trademark Office on February 24, 2004 regarding the Yale patent application, particularly paragraphs 16 27 on pages 5-7 (i.e., the claim rejections under 35 U.S.C. § 103). It is my understanding that the Patent Examiner maintains that claims 83 87 are not patentable in view of the teachings of Khwaja et al. (U.S. Patent No. 6,113,907) in view of Schena et al. (Parallel human genome analysis: Microarray-based expression monitoring of 1000 genes. Proc. Natl. Acad. Sci USA 93:10614-10619. 1996). I have read and understand Khwaja et al. and Schena et al.
- 5. Complementary and alternative therapies offer opportunities and novel insights for the treatment and prevention of neoplastic diseases. We have recently shown that 43% of men with localized prostate cancer (CaP) use herbal complementary therapies (Lippert, M. C., McClain, R., Boyd, J. C., and Theodorescu, D. Alternative medicine use in patients with localized prostate carcinoma treated with curative intent. Cancer, 86: 2642-2648, 1999). These prevalence rates varied

PATENT Page 3 of 7

as a function of age and aggressivity of the cancer. In addition, we have shown that many patients are highly motivated to use these therapies due to their relatively low toxicity profile (Hall, J. D., Bissonette, E. A., Boyd, J. C., and Theodorescu, D. Motivations and influences on the use of complementary medicine in patients with localized prostate cancer treated with curative intent: results of a pilot study. BJU Int, 91: 603-607, 2003). However, most compelling was the finding that several of these botanical therapies show striking and beneficial clinical effects on human CaP as well as in experimental in vitro studies. One such example is PC-SPES, a combination of 8 herbal extracts that has significant clinical benefits for patients with metastatic, hormone-refractory CaP.

Dr. Eric Small, an oncologist at University of California San Francisco reported in 2000 that BotanicLab's PC-SPES lowered PSA and shrank tumors in men with advanced prostate cancer. Furthermore, in 2002 Dr. Small and Dr. William Oh at Dana Farber opened a randomized trial to compare PC-SPES with Diethylstitlestrol (DES), an artificial estrogen in view of the fact that PC-SPES is known to have estrogenic effects. All of the patients had androgen independent prostate cancer; none of them had received chemotherapy or other advanced therapy. As this trial began to accrue, men who received PC-SPES actually did better than men receiving DES (although not quite as well as patients taking PC SPES in the earlier study). Patients enrolled in the PC SPES arm of the trial were showing better responses than those receiving DES. Of the PC SPES group, 45% had at least a 50% drop in PSA (prostate specific antigen, a biochemical marker of prostate cancer). Only 21% of those on DES experienced a 50% drop.

Unfortunately, and pertinent to this application, in late 2002, the aforementioned trial was stopped prematurely by the FDA because of ongoing concerns about the contents of PC-SPES. A few months before this, lots of PC-SPES tested had

PATENT Page 4 of 7

small amounts of DES and other pharmaceuticals present. Although, in view of the trial design, the DES contamination of the PC-SPES would not have been a source of the improved effects of PC-SPES in patients (since the trial compared it to pure DES), nevertheless study of this very promising herbal medication was halted. This problem was further compounded by a clinical observation made by most oncologists, namely that the side effects of PC-SPES in patients seemed to depend not only on patient profiles but also on the lots of PC-SPES they were taking. Hence, this suggested that the lot to lot variability of the product was significant and that the company at that time (circa 2000-2002) despite 1) the prior publication of Khwaja et al and Schena et al; and 2) their in house quality control processes, did not have the idea or vision to formulate a quality control processes based on gene expression profile which could have prevented these problems.

It is particularly important to place in perspective why nobody until our group in 2003 (Bigler, D., Gulding, K. M., Dann, R., Sheabar, F. Z., Conaway, M. R., and Theodorescu, D. Gene profiling and promoter reporter assays: novel tools for comparing the biological effects of botanical extracts on human prostate cancer cells and understanding their mechanisms of action. Oncogene, 22: 1261-1272, 2003, copy attached as Exhibit B) demonstrated this approach toward quality control of herbal products and consequently why, the current patent application by Patrick C. KUNG et al. has great merit. Consider these facts: 1) The two papers quoted above (Khwaja et al and Schena et al) are in very disparate areas of science. It is not customary for someone working in botanical products to be familiar with and/or scan the gene expression molecular biology literature routinely. Science today is highly complex and specialized and significant developments on none area (as would have been the case with cDNA microarrays in 1995) were not "common knowledge" even in molecular biology circles, let alone, in individuals working in botanical products. 2) The mindset and approach of workers in the botanical field for many years and certainly in the early nineties

was very descriptive and non scientific, with scientific research (basic science) and/or clinical trials of these products being rare. 3) Our laboratory, in 2002 began work which culminated in the formulation of an approach using gene expression profiling for quality control of botanical products (Bigler reference above). It is important to understand that my laboratory and my research program is one which is fundamentally carrying out translational research and therefore is grounded in both molecular biology and clinical medicine. Thus, it was this unique combination that allowed us to formulate and synthesize the approach to combine gene expression profiling to a clinically relevant problem, made so by the unfortunate collapse of the PC-SPES trial; a trial that is completed may have produced a novel and effective therapeutic strategy for metastatic prostate cancer. In addition, it is not at all obvious that even in my lab we would have thought of this concept in 1998, the time this patent was filed. 4) Finally, despite the prior publication of Khwaja et al and Schena et al and their in house quality control processes, BotanicLab, the makers of PC-SPES, did not have the idea or vision to formulate a quality control process based on gene expression profile which could have prevented the lot to lot variability that plagued their product in 2000-2002. 5) Khwaja et al. disclose using compositional and bioactivity fingerprints for the processing of St. John's Wort to produce botanical drugs. Their disclosed method includes measuring selective muscarinic M1 receptor binding inhibition of samples of St. John's Wort. The methods taught by Khwaja et al. do not include using gene expression profiles for quality control. Schena et al. disclose using microarray-based expression monitoring of genes to identify known and novel heat shock and phorbol ester-regulated genes in human T cells. Schena et al. involves the identification of heat shock and phorbol-ester-regulated genes using microarraý-based expression. They do not provide any guidance on how one would utilize a differential gene expression profile of multiple genetic components in a quality control method for assessing the equivalency of herbal compositions.

In summary, for the above 5 reasons I believe that it was NOT obvious to an artisan of ordinary skill to combine the works of Khwaja et al and Schena et al and formulate a proposal similar to the proposed patent by Patrick C. KUNG et al. in 1998.

6. As support for my position as stated in paragraph 2 above, I attach as Exhibit B a copy of an article entitled Gene profiling and promoter reporter assays: Novel tools for comparing the biological effects of botanical extracts on human prostate cancer cells and understanding their mechanisms of action, (Bigler et al., Oncogene 22:1261-1272 (2003)). I am a co-author of this article reporting on the results of using PC-SPES, a combination of eight herbs, "as a model system to demonstrate 'proof of principle' as to how gene expression profiling coupled with promoter assays can evaluate the effect of herbal cocktails on human prostate cancer" (Abstract). We realized that during these studies, and stimulated by the Quality Control ("QC") debacle of PC-SPES in 2002 as described above, that the expression profile could in fact be a very powerful biological metric for botanical QC. Hence, we hypothesized the following:

"This finding has profound implications since it potentially offers an avenue for the molecular 'fingerprinting' of complex botanical extracts, which are claimed to be made from the same natural sources. Application of these approaches in preclinical studies may allow for both the standardization of the botanical mixtures allowing for rational interpretation of any discrepant human clinical data. In addition, companies producing botanical products can use this technology in quality assurance of their products, which may have biological relevance superior to conventional approaches such as HPLC." Page 1266, second column.

Importantly, even in this <u>2003 publication</u> the original purpose of the study was to assess and compare mechanisms of action between two different botanical formulations and that the observation of the utility of using gene expression

PATENT Page 7 of 7

profiles for quality control of botanicals was actually an afterthought. Thus, as mentioned above in paragraph 5, it is my belief that one of ordinary skill in the herbal medicine arts could not have arrived at the invention now claimed in the Yale application in 1998 based on the combined teachings of Khwaja et al. and Schena et al. It is also my belief that the invention now claimed in the Yale patent application could have real utility for determining an advanced form of quality control for botanical extracts and provides an important advancement in the standardization of herbal compositions.

7. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true, and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Bv:

Dated

CURRICULUM VITAE

Dan Theodorescu MD PhD



PERSONAL.

LANGUAGES SPOKEN: MARITAL STATUS: CHILDREN:

English, French, Romanian Married to Diane Louise Causier Thomas William (DOB 1/26/95) Claire Ana (DOB 10/6/96)

CURRENT ADDRESS

Department of Urology University of Virginia Charlottesville, Virginia, 22908-0422, USA Tel: 434-924-0042 Fax: 434-982-3652

Internet: theodorescu@virginia.edu

Web Page: http://faculty.virginia.edu/theodorescu/

ACADEMIC DEGREES

1993 Doctor of Philosophy (PhD)

University of Toronto, Toronto, Ontario, Canada. 1986

Doctor of Medicine (MD)

Queen's University, Kingston, Ontario, Canada.

POSITIONS HELD

University of Virginia, Charlottesville, VA

2003-present Director, Paul Mellon Prostate Cancer Institute

2002-present Professor, Department of Molecular Physiology and Biological Physics

2001-present Professor, Department of Urology

1998-present Paul Mellon Chair in Urologic Oncology, Department of Urology

1995-present Attending Urologist, University Hospital 1995-present Urologic Oncologist, Cancer Center

Associate Professor, Department of Molecular Physiology and Biological Physics 2001-2002

1998-2001 Associate Professor, Department of Urology

Assistant Professor, Department of Molecular Physiology and Biological Physics 1995-2001

1995-1998 Assistant Professor, Department of Urology

PROFESSIONAL CERTIFICATIONS

1999	Certified by the American board of Orology
1008	Fellow of the American College of Surgeon

Fellow of the American College of Surgeons (FACS) 1995 Medical License in the State of Virginia

1995 Fellow of the Royal College of Physicians and Surgeons of Canada (FRCSC)

1994 Certified by the Royal College of Physicians and Surgeons of Canada in Urology

Licentiate of the Medical Council of Canada (LMCC) 1986

ADMINISTRATION AND COMMITTEES

1995-1999	Tumor Registry Committee, University of Virginia, Charlottesville, VA
1996-1997	AFUD Bladder Health Council, USA: Bladder cancer awareness week organizing committee
1996-1999	ECOG Genitourinary Committee, USA
1997-1999	American Cancer Society, Prostate Cancer Planning Committee, Virginia Chapter
1999-2003	Basic Cardiovascular Research Training Grant Executive Committee, University of Virginia,
	Charlottesville, VA
2000	Member, Dean's Search Committee for Chairman, Department of Pathology
2001-2003	Member, Executive Management Committee, Tyco/US Surgical Laparoscopic Center of Excellence,
	University of Virginia, Charlottesville, VA
2001-2003	Member, Internal Advisory Board, Paul Mellon Prostate Cancer Institute, University of Virginia,
	Charlottesville, VA
2001-present	Member, Cancer Center Seminar Series Committee, University of Virginia, Charlottesville, VA
2003-present	Member, American Urological Association (AUA) Research Council.
2003-present	Director, Paul Mellon Prostate Cancer Institute

Nominations Committee for the Society for Basic Urology Research (SBUR)

MEMBERSHIPS IN PROFESSIONAL ASSOCIATIONS 2001-present European Association of Urology (EAU)

1998-present	American College of Surgeons (ACS)
1998-present	Society for Urologic Oncology (SUO)
1998-present	American Urological Association (AUA)
1995-present	Medical Society of Virginia
1995-present	Eastern Cooperative Oncology Group (ECOG)
1995-present	American Society for Clinical Oncology (ASCO)
1989-present	American Association for Cancer Research (AACR)
1989-present	Society for Basic Urologic Research (SBUR)
1995-1998	American College of Surgeons (ACS)(Associate Member)
1993-1998	American Urological Association (AUA)(Candidate Member)

JOURNAL EDITORIAL BOARDS

2004-2007

2004-present	British Journal of Urology-Investigative Section
2004-present	Current Cancer Therapy Reviews
2003	Guest editor: Urologic Clinics of North America: "Followup of GU cancers"
2003-present	BMC Medicine
2003-present	Cancer Letters
2002-present	World Journal of Urology (Research section editor)
2002-present	BMC Cancer
2002-present	BMC Urology
2000-present	Journal of Laparoendoscopic and Advanced Surgical Techniques
2000-present	E-Medicine Textbook of Urology (www.emedicine.com)
2000-present	Prostate Cancer and Prostatic Diseases

JOURNAL REVIEWER

2003-present	British Journal of Urology
2003-present	Cancer Cell
2002-present	Molecular and Cellular Biology

- 2002-present Journal of the National Cancer Institute
- 2001-present Cancer Practice
- 2000-present Proceedings of National Academy of Science (USA)
- 2000-present Nutrition and Cancer
- 2000-present Clinical Cancer Research 2000-present International Journal of Cancer
- 1998-present Urologic Oncology
- 1998-present Cancer Research
- 1998-present Journal of Laparoendoscopic and Advanced Surgical Techniques
- 1998-present Urology
- 1997-present British Journal of Cancer
- 1996-present American Journal of Pathology
- 1996-present Clinical Chemistry
- 1996-present Cancer 1994-present Journal of Urology

EDUCATIONAL HISTORY

- Clinical Fellow, Urology Service, Memorial Sloan-Kettering Cancer Center, New York, NY. 1994-1995
- 1991-1994 Resident, Division of Urology, Department of Surgery, University of Toronto, Toronto, Ont.
- MRC Research Fellow, Mount Sinai Hospital Research Institute, Faculty of Medicine, University of 1988-1991 Toronto, Toronto, Ont.
- 1986-1987 Surgical Internship, Department of Surgery, University of Toronto, Toronto, Ont.
- 1982-1986 Medical School, Faculty of Medicine, Queen's University, Kingston, Ont.
- 1980-1982 Undergraduate Studies, Department of Chemistry, Queen's University, Kingston, Ont.

HONORS AND AWARDS

- American Urological Association (AUA) Leadership Program 2004
- American Association for Cancer Research (AACR) Sydney Kimmel Award 2002
- Resident Teaching Award, Department of Urology, University of Virginia 1999
- 1998 Paul R. Mellon Chair in Urologic Oncology, Department of Urology, University of Virginia. 1998 Young Investigator Award from the Society for Basic Urologic Research
- 1998 Edwin Beer Award from the New York Academy of Medicine
- 1997 Kimmel Foundation Scholar
- 1996 Recipient of American Cancer Society Career Development Award
- 1996 Recipient of American College of Surgeons Faculty Research Fellowship
- American Urologic Association (N-E and New England Sections) Resident Essay Third Prize 1992
- Bard Canada Resident Essay Prize, Division of Urology, University of Toronto. 1992
- J.C. Laidlaw Prize (First Place) for Research Excellence, Faculty of Medicine, University of Toronto. 1991
- 1991 Gallie Bateman Prize (First Place) in Surgical Research, Dept. of Surgery, University of Toronto.
- 1990 Davis and Geck Resident Essay Prize, Division of Urology, University of Toronto.
- 1990 Gallie Bateman Prize (Second Place) in Surgical Research, Dept. of Surgery, University of Toronto.
- 1990 Fellow of the Medical Research Council of Canada.
- 1990 Canadian Urologic Association Resident Essay Prize
- 1989 J.C. Laidlaw Prize (Honorable Mention) for Research Excellence, University of Toronto.
- 1986 Zbar Prize, Faculty of Medicine, Queen's University.
- 1985 Senator Frank Carell Award for Academic Achievement Faculty of Medicine, Queen's University
- Leukemia Research Prize, for Research Excellence from the Canadian Leukemia Research Fund. 1983
- 1982 Dean's List in the Faculty of Arts and Science, Queen's University.

New York, NY

Title: "Molecular staging of prostate cancer"

	access of the Hard Park and MD
2005	2005 International Bladder Symposium, Baltimore, MD
	Title: "RhoGDI2, a new metastasis suppressor gene in bladder cancer: Discovery and clinical translation"
2004	Global GU Oncology Conference, Honolulu, HI
	Title: "How shall we manage locally advanced prostate cancer? Matched comparison of Brachytherapy and
	Radical prostatectomy"
2004	Plenary Session Moderator: Controversies in Penile Cancer
	Global GU Oncology Conference, Honolulu, HI.
2004	83rd Annual Meeting of the Clinical Society of Genitourinary Surgeons, Charlottesville, VA
2004	Title: "RhoGDI2, a new metastasis suppressor gene in bladder cancer: Discovery and clinical translation"
2004	Baylor University: Department of Urology, Dallas, TX.
2004	Title: "Clinical follow up in urologic oncology"
2004	Marshfield Clinic: Oncology Center, Marshfield WI.
2002	Title: "The role of RhoGDI2 in human bladder cancer"
2003	5th Society for Urologic Oncology (SUO) Title: "RhoGDI2: the role and mechanism of action of a new metastasis suppressor gene"
2002	8th Annual Scottsdale Prostate Cancer Conference, Scottsdale, AZ.
2003	Title: "Molecular Staging of Genitourinary Cancers"
2002	Society for Basic Urologic Research Conference, Orlando, FL.
2002	Title: "Angiogenesis: VEGF in prostate cancer"
2002	American Association for Cancer Research (AACR), San Francisco, CA.
2002	Title: "Mechanisms of PTEN mediated cell motility: Role of protein phosphatase activity"
2002	"Medical College of Virginia 2 nd Annual Warren Koontz Urology Conference", Richmond, VA.
2002	Title: "Current Status of Cryotherapy for Genitourinary Cancers"
2002	"Virginia Urology Center 3rd Annual Urology Conference", Richmond, VA.
2002	Title: "Current Status of Continent Diversions following cystectomy for Bladder Cancer"
2001	"NIH-Bladder Cancer PRG, Panel Member", Washington, DC.
2001	Panel: Panel make consensus recommendations for the NIH priorities in bladder cancer research
2001	"American Brachytherapy Society Consensus Panel Member", Nassau, Bahamas.
2001	Title: "Current Status of Hormonal therapy in localized Prostate Cancer treated with brachytherapy"
	Panel: Panel includes 10 members which make consensus recommendations for the ABS
2001	"Virginia American Cancer Society", Fredericksburg, VA.
2001	Title: "Current Status of Alternative medicine Use in Prostate Cancer"
2001	"Medical College of Virginia 1st Annual Warren Koontz Urology Conference", Richmond, VA.
	Title: "Current Status of Tumor Markers in Bladder Cancer"
2001	"Prostate Cancer Update: Kimmel Cancer Center, Philadelphia", Philadelphia, PA.
	Title: "Molecular Regulation of Angiogenesis in Prostate cancer"
2000	"State of the Science in Superficial Bladder Cancer: NCI Sponsored workshop", Washington, DC.
2000	Title: "EGF receptor signal transduction in bladder cancer invasion"
2000	"International Innovators in Urology", Dublin, Ireland.
	Title: "The role of VEGF in prostate cancer metastasis"
2000	"Department of Urology, Ohio State University", Columbus, OH.
	Title: "Sexual and voiding function and quality of life in prostate cancer"
1999	"Urology Investigators Forum (UIF) Society", New York, NY.
	Title: "Sexual and voiding function and quality of life in prostate cancer"
1999	"International Innovators in Urology", Cambridge, England.
	Title: "Quality of life in prostate cancer: Brachytherapy vs. radical surgery"
1999	Long Island Jewish Medical Center, New York, NY
	Title: "Molecular biology of prostate cancer progression: The role of dietary fatty acids"
1998	"Festschrift for Dr. William Fair, Chair Urology Service Memorial Sloan Kettering, 1984-1997"
	N. W. J. My

- "International Innovators in Urology", London, England. Title: "Molecular staging of prostate cancer"
- "Molecular genetics of bladder cancer: NCI Sponsored workshop", Sarasota, FL. 1998
- Title: "EGFR signaling in TCC progression" University of Tennessee, Knoxville, TN
- Title: "Molecular biology of prostate cancer development: The role of angiogenesis"
- American Foundation for Urological Disease, American Urological Association, New Orleans, LA
- Title: "Bladder Health Week 1996: Update and Results"
- 1997 Eastern Cooperative Oncology Group (ECOG) GU Group, Atlanta, GA
- Title: "Using EGFR inhibitors in the prevention of high grade T1 TCC progression"
- 1997 Moderator, Podium Session on Human Therapeutics
 - Conference: American Cancer Society, Virginia Chapter, Richmond, VA.
- 1997 Maimonides Medical Center. Brooklyn, NY
- Title: "Molecular biology in staging, prognosis and treatment of prostate cancer"
- 1996 Eastern Cooperative Oncology Group (ECOG) GU Group, Baltimore, MD Title: "The role of p53, bcl-2 and Rb markers in localized prostate cancer progression"
- 1995 Medical College of Virginia, Richmond, VA
- Title: "The role of p53 in T1 bladder cancer progression"
- 1992 Department of Urology, M.D. Anderson Hospital and Tumor Institute, Houston, TX. Title: "Ha-ras and EGF-R expression in transitional cell carcinoma growth and invasion"
- 1992 Fourth International Congress of The Metastasis Research Society, Paris, France.
- Title: "Suppression of TGF-beta1 secretion enhances the aggressiveness of colon cancer" 1991 Co-Chairperson, Poster Discussion Session on Biology of Metastasis
- Conference: American Association for Cancer Research, Houston, Texas.
- 1990 International Molecular Genetics of Bladder Cancer Meeting, Prouts Neck, MA Title: "Ha-ras and EGF-R expression in transitional cell carcinoma growth and invasion"

PROFESSIONAL SOCIETY PROGRAMS

- 2005 Plenary Session Pannelist: Controversies in Superficial Bladder Cancer American Urological Association, San Antonio, TX.
- 2005 Postgraduate Course in Urologic Oncology, American Urological Association, San Antonio, TX. Title: "Clinical follow up in urologic oncology" Participants: Dr. S. Machele Donat (director)
- 2005 Program Committee: Bladder Cancer
- Conference: American Urological Association, San Antonio, TX.
- 2004 Instructor, AUA-Basic Science for Urologists Course Title: "Basic molecular biology for urologists"
- Conference: American Urological Association (AUA) Sponsored course, Charlottesville, VA.
- 2004 Co-Moderator, Poster Discussion Session: Bladder Cancer Research I
 - Conference: American Urological Association, San Francisco, CA
- 2004 Program Committee: Bladder Cancer
- Conference: American Urological Association, San Francisco, CA. 2004
- Postgraduate Course in Urologic Oncology, American Urological Association, San Francisco, CA. Title: "Clinical follow up in urologic oncology" Participants: Dr. S. Machele Donat (director)
- 2004 Co-Moderator, Metastasis Podium Session
- Conference: American Association for Cancer Research (AACR), Orlando, FL.
- 2003 Moderator, Plenary Session: Molecular therapeutics
- Conference: Society for Urologic Oncology (SUO), Bethesda, MD.
- 2003 Instructor, AUA-Basic Science for Urologists Course Title: "Basic molecular biology for urologists"
 - Conference: American Urological Association Sponsored course, Charlottesville, VA.

- Co-Moderator, Poster Discussion Session: Bladder Cancer Research II
 Conference: American Urological Association, Chicago, IL.

 Postgraduate Course in Urologic Oncology, American Urological Association, Chicago, IL
 Title: "Clinical follow up in urologic oncology"
 Participants: Dr. S. Machele Donat (director)
 Program Committee: Bladder Cancer Sessions
- Conference: American Urological Association, Chicago, IL.
 Plenary Session Summary: Highlights of Prostate Cancer Sessions
 Conference: Societé Internationale d'Urologie (SIU), Stockholm.
 Co-Moderator, Podium Session on Prostate Cancer
- Conference: American Urological Association-Mid Atlantic Section, Baltimore, MD.

 Instructor, AUA-Basic Science for Urologists Course
 Title: "Basic molecular biology for urologists"
- Conference: American Urological Association Sponsored course, Charlottesville, VA.
 Plenary Session Summary: Highlights of Bladder Cancer Sessions
- Conference: American Urological Association, Orlando, FL.
 2002 Program Committee: Abstract review team (bladder cancer)
- Conference: American Urological Association, Orlando, FL.

 2001 Co-Moderator, Podium Session on Advanced Bladder Cancer
- Conference: American Urological Association, Anaheim, CA.
 2000 Plenary Session Lecture
- Title: "Penile Cancer: Diagnosis and Staging"

 Conference: Societé Internationale d'Urologie (SIU), Singapore.
- 2000 Moderator, Kidney Cancer Poster Session Conference: Societé Internationale d'Urologie (SIU)", Singapore.
- 2000 Chairman, Scientific Program Committee
- Conference: American Urological Association-Mid Atlantic Section, Puerto Rico.

 Member, Scientific Program Committee
- Conference: American Ürological Association-Mid Atlantic Section, Hilton Head, NC Postgraduate Course in Urologic Oncology, American Urological Association, Dallas, TX Title: "Clinical follow up in urologic oncology"
- Participants: Drs. William Fair (director), Michele Donat and Guido Dalbagni
 1998 Resident Essay Prize Selection Committee
- Conference: American Urological Association-Mid Atlantic Section, Palm Beach, FL.

 Co-Moderator, Podium Session on Prostate Cancer
- Co-moderator, Poatum Session on Prostate Cancer
 Conference: American Urological Association-Mid Atlantic Section, Palm Beach, FL.
- 1998 Virginia Urological Society Annual Meeting, Virginia Urological Society, Charlottesville, VA Title: "Continent diversions in women: Pearls and pitfalls"
- 1998 Postgraduate Course in Urologic Oncology, American Urological Association, San Diego, CA Title: "Clinical follow up in urologic oncology" Participants: Drs. William Fair (director), Michele Donat and Guido Dalbagni
- 1996 Virginia Urological Society Annual Meeting, Virginia Urological Society, Richmond, VA Title: "The role of molecular markers in bladder cancer progression",

CME COURSES AND SYMPOSIA

- 2003 "Richmond Urologic Forum", Richmond, VA. Lecture 1 Title: "Current Status of Continent Diversions following cystectomy for Bladder Cancer" Lecture 2 Title: "Clinical follow up in urologic oncology"
- 2001 Third Annual Mid Atlantic Urology Seminar, Banff, Alberta Title: "Second opinion pathologic review in bladder cancer: Costs and benefits"
- 2000 "Management of Prostate Cancer in the Year 2000", Atlanta, GA Title: "Quality of life following treatment of localized prostate cancer"

- "20th Annual Jackson Hole Urology Conference", Jackson Hole, WY
- Title: "Molecular prognostic factors in prostate cancer"
- Second Annual Mid Atlantic Urology Seminar, Taos, NM
 - Title: "Molecular staging of prostate cancer"
- 1998 Virginia Beach Cancer Center, Virginia Beach, VA
- Title: "Molecular biology in staging, prognosis and treatment of prostate cancer"
- 1998 Department of Surgery Grand Rounds, University of Virginia, Charlottesville, VA
 - Title: "The emerging role of brachytherapy in the treatment of localized prostate cancer" First Annual Mid Atlantic Urology Seminar, Montreal, Quebec
- Title: "Partial nephrectomy: Emerging role in management of renal cell cancer"
- 36th Annual Medical Staff Oncology Seminar, Halifax Regional Hospital, South Boston, VA 1997
 - Title: "New advances in prostate cancer brachytherapy"
- Day at Virginia, University of Virginia, Charlottesville, VA
- Title: "The role of lymphadenectomy in the treatment of penile cancer"
- Prostate Brachytherapy Course, University of Virginia, Charlottesville, VA Title: "The use of chemosensitizers in prostate cancer radiotherapy"
 - Note: Two day course every two months
- Course in Prostate Oncology, Rockingham Memorial Hospital, Harrisonburg, VA
- Title: "Prostate specific antigen (PSA): New advances" Day at Virginia, University of Virginia, Charlottesville, VA
- Title: "Partial nephrectomy: Who ? Why ? and How ?"
- 1995 Course in Surgical Oncology, University of Virginia, Charlottesville, VA Title: "Novel treatments of localized prostate cancer"

STRUCTURED TEACHING

- 1993 Third Year medical students (St. Michael's Hospital, University of Toronto, Toronto, Ont.)
 - Time: 2hrs/week x 12 weeks Topic: General Urology
- 1995-present Department: Urology
 - Level: Third year medical students
 - Time: 1 hr/month
 - Topic: Bladder Cancer
- 1997-2002 Department: Molecular Physiology and Biological Physics (Physiology 852)
 - Course/Level: Graduate students
 - Time: 1.5 hr/6 months
 - Topic: Tumor Angiogenesis
- 1999-2002 Department: Microbiology (Molecular Basis of Cancer)
 - Level: Graduate students
 - Time: 2 hr/6 months
 - Topic: Tumor Angiogenesis

TRAINING GRANT FACULTY

Principal Investigator: Project Number:

Sarah J. Parsons 5T32CA09109-24 National Cancer Institute

Source:

Last Update: Tuesday, February 08, 2005

Theodorescu Dan......8

Title of Project:

Cancer Research Training In Molecular Biology

Principal Investigator:

Brian Duling

Project Number:

5T32HL07284-23

Source:

National Heart, Lung, And Blood Institute

Title of Project:

Basic Cardiovascular Research Training Grant

Principal Investigator:

Gary Owens N/A

Project Number: Source:

NIH

Title of Project:

MD/PhD Training Grant

Principal Investigator:

Michael Weber

Title of Project:

Molecular Medicine program

UNDERGRADUATE AND MEDICAL STUDENTS

1997 summer John Tiedman (summer research student)

1997-1998 Michael Emmanuel Herce (mentor for Biology 495-496 Research Project)

1998 summer Saveh Fariyar (NIH summer undergraduate research student) 1999 summer Anna Jackson (NIH summer undergraduate research student)

2000 summer Chris Geiger (NIH summer undergraduate research student) 2000 summer Lex Thomas (UVA Cancer Center medical student)

2001 summer Joshua Hall (NIH Alternative Medicine Center medical student)

GRADUATE STUDENTS

1996-2000	Amy Ma (member of PhD thesis committee: Department of Microbiology)
1997-2002	Paul D. Deeble (member of PhD thesis committee: Department of Microbiology)
1998-2002	Robert E. Bakin (member of PhD thesis committee: Department of Microbiology)
2001-2004	Brian E. Nicholson (PhD supervisor: Department of Molecular Physiology)
2004-present	Steven Smith (PhD supervisor: Department of Molecular Physiology)
2004-present	David Chernauskas (PhD supervisor: Department of Molecular Physiology)
2004-present	Rooshin Dalal (member of PhD thesis committee: Department of Cell Biology)

RESIDENTS AND CLINICAL RESEARCH FELLOWS

1995-1996	Stanford Broder MD (urology resident doing clinical research). Dr. Broder has been the recipient of
	the 3rd prize for clinical research at the 1997 American Urological Association meeting for his
	contribution to publication #25)

1996-1997 Emin Ozbek MD (clinical urology research fellow)

1996-1997 Christopher Crane (radiation oncology resident doing clinical research)

1998-1999 Tracy Krupsky MD (urology resident doing basic and clinical research) Dr. Krupski has been the recipient of the University of Virginia School of Medicine Resident prize for research for 2000, for her

contribution to publication #43) 1998-2000

Brant Fulmer MD (urology resident doing clinical research) 1999-2001 Terrance Chapman MD (urology resident doing clinical research)

2000-2003 Timothy Coblenz MD (urology resident doing clinical research) Dr. Coblentz has been the recipient of the 1st prize for clinical research at the 2000 Mid-Atlantic American Urological Association meeting for his contribution to publication #51)

2000-2004 Emily Smith MD (urology resident doing clinical research)

Last Update: Tuesday, February 08, 2005

2001-2005 Nicole Miller MD (urology resident doing clinical research) Dr. Miller has been the recipient of the

1st prize for clinical research at the 2004 Mid-Atlantic American Urological Association meeting for

her contribution to publication #51)

2001-2005 Antoine Maklouf MD PhD (urology resident doing clinical research)

2002-present Jeff Woolsey MD (urology resident doing clinical research) 2003-present Hillary Copp MD (urology resident doing clinical research)

2003-present
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POSTDOCTORAL FELLOWS

1995-1996 François LeGal PhD (postdoctoral supervisor)

1997-present Michael Harding PhD (postdoctoral supervisor)

Dr. Harding is the recipient of an NIH Training Grant, 1997-2000) and the recipient of the Pfizer

Scholar in Úrology Award for Urological research in 1999. John Gildea PhD (postdoctoral supervisor)

1998-2002 John Gildea PhD (postdoctoral supervisor)
Dr. Gildea is the recipient of an American Foundation of Urologic Disease AFUD fellowship, 1999-

Dr. Gilaea is the recipient of an American Found

1998-2001 Essam Sheeta PhD (postdoctoral supervisor)

Dr. Sheta is the recipient of an NIH Training Grant, 1999-2001

1999-2002 Jabed Seraj MD PhD (postdoctoral supervisor)

Dr. Seraj is the recipient of an NIH Training Grant, 2000-2001

2001-present Dora Bigler PhD (postdoctoral supervisor)

2001-present Gary Oxford PhD (postdoctoral supervisor)

Dr. Oxford is the recipient of an NIH Training Grant, 2002-2005

2001-present Mikel Herlevsen PhD (postdoctoral supervisor)

2002-present Brian Titus PhD (postdoctoral supervisor)

Dr. Titus is the recipient of an American Foundation of Urologic Disease AFUD fellowship, 2003-

2005 2002-present Zhong Wu PhD (postdoctoral supervisor)

2003-present Tonia Foreman PhD (postdoctoral supervisor)

2005-present Yimin Wu PhD (postdoctoral supervisor)

PEER REVIEWED GRANT STUDY SECTIONS

1998-present American Cancer Society

Study Section: IRG

Site: University of Virginia, Charlottesville, VA

1998-2000 U.S. Army Prostate Cancer Research Program

Study Section: Cell and Molecular Biology #2
1998: Study Section Secretary: Dr. Harold Waters

1998: Study Section Secretary: Dr. Harold Waters 1999: Study Section Secretary: Dr. Dolores Lowry

2000: Study Section Secretary: Dr. Dolores Lowry

2004-2009 American Cancer Society

Study Section: Cell Structure and Metastasis

SRA: Christopher Widnell

Site: American Cancer Society, Atlanta, GA

PAST MAJOR PRIOR PEER REVIEWED GRANTS

Principal Investigator: Dan Theodorescu

Project Number: 9599

Source: American Cancer Society

Title of Project: Molecular genetics of prostate cancer progression

Dates of Approved/Proposed Project: 7/1/96-6/30/99

Principal Investigator: Dan Theodorescu

Project Number: 3476 (grant based in ACS CDA 9599 obtained 7/96)

Source: American Cancer Society

Title of Project: Molecular genetics of prostate cancer metastasis

Dates of Approved/Proposed Project: 7/1/00-1/30/03

Principal Investigator: Dan Theodorescu

Project Number: R29-CA75115 (renewed with R01-CA75115)

Source: National Cancer Institute

Title of Project: Molecular genetics of bladder cancer progression

Dates of Approved/Proposed Project: 9/1/97-8/30/02

CURRENT MAJOR PEER REVIEWED GRANTS

Principal Investigator: Dan Theodorescu

Active/Pending Active

Project Number: R01-CA75115 (renewal of grant obtained 9/97)

Source: National Cancer Institute

Title of Project: Molecular genetics of bladder cancer progression

Dates of Approved/Proposed Project: 2/1/03-1/30/08

Principal Investigator: Dan Theodorescu Active/Pending Active

Project Number: R01-CA58123

Source: National Cancer Institute
Title of Project: Molecular regulation of VEGF in prostate cancer

Title of Project: Molecular regul
Dates of Approved/Proposed Project: 7/1/01-6/30/06

Principal Investigator: Dan Theodorescu

Active/Pending Pending

Project Number: Program Project (patent committee score of 128 from 12/29/04)

Source: National Cancer Institute

Title of Project: Signal Transduction in Prostate Cancer progression

Dates of Approved/Proposed Project: 8/1/05-7/30/10

CLINICAL TRIALS

Sponsor: University of Virginia

Investigators: Drs. Santen, Myers, Theodorescu, Fisch

Trial: Arimidex, a new second line agent for hormonally refractory prostate cancer

Status: Completed

Sponsor: Jenssen Pharmaceuticals
Investigators: 6 teaching institutions in the US.

Investigators: 6 teaching institutions in the US.

Trial: 6 teaching institutions in the US.

The use of a novel oral Farnesyl Transferase Inhibitor in the treatment of BCG refractory bladder

cancer.

Last Update: Tuesday, February 08, 2005

Status: Completed

Sponsor: Bard Diagnostic Sciences Inc.

Investigators: National

Trial: Determining the utility of the BTA assay in establishing cost effective ways to monitor patients

with past history of superficial bladder cancer.

Status: Completed

Sponsor: University of Virginia
Investigators: Drs. Theodorescu, Thomas

Trial: Ketoconazole-Taxotere for hormone refractory prostate cancer: Phase I with molecular correlates

Status: Application to IRB ongoing

PUBLICATIONS (Indexed)

 Blair, G.K., Filler, R.M., and Theodorescu, D. 1987. Neonatal pharyngoesophageal perforation mimicking esophageal atresia: clues to diagnosis. J Pediatr Surg, 22: 770-774

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- Theodorescu, D., Cornil, I., Fernandez, B.J., and Kerbel, R.S. 1990.Overexpression of normal and mutated forms of HRAS induces orthotopic bladder invasion in a human transitional cell carcinoma. *Proc Natl Acad Sci U S A*, 87: 9047-9051
- Cornill, I., Theodorescu, D., Man, S., Herlyn, M., Jambrosic, J., and Kerbel, R.S. 1991. Fibroblast cell interactions with luman melanoma cells affect tumor cell growth as a function of tumor progression. Proc Natl Acad Sci USA, 88: 6028-6032
- Cornil, I., Theodorescu, D., Kerbel, R.S., and Poupon, M.F. 1991. Metastatic dissemination of cancer cells. Pathol Biol (Paris), 39: 300-307
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- Kerbel, R.S., Cornil, I., and Theodorescu, D. 1991.Importance of orthotopic transplantation procedures in assessing the effects of transfected genes on human tumor growth and metastasis. *Cancer Metastasis Rev*, 10: 201-215
- Theodorescu, D., Caltabiano, M., Greig, R., Rieman, D., and Kerbel, R.S. 1991.Reduction of TGF-beta activity abrogates growth promoting tumor cell-cell interactions in vivo. J Cell Physiol, 148: 380-390
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- Theodorescu, D., Cornil, I., Sheehan, C., Man, M.S., and Kerbel, R.S. 1991. Ha-ras induction of the invasive phenotype results in up-regulation of epidermal growth factor receptors and altered responsiveness to epidermal growth factor in human papillary transitional cell carcinoma cells. Cancer Res, 31: 4486-4491

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- Theodorescu, D., Binnington, A.G., and Connolly, J.G. 1992. The intestinoprostatic capsule anastomosis: functional and anatomic results in a canine model. Can J Surg, 35: 169-172
- 14. Wu*, S.P., Theodorescu, D*., Kerbel, R.S., Willson, J.K., Mulder, K.M., Humphrey, L.E., and Brattain, M.G. 1992.TGF-bed 1 is an autocine-negative growth regulator of human colon carcinoma FET cells in vivo as revealed by transfection of an antisense expression vector. J Cell Biol, 116: 187-196. 'ecqual first authors')
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- 21. Theodorescu, D. 1996. Prostate Cancer Our Patients' Questions. J. Urol. 155(5):1661-1662
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- 34. Crane C. H., Clark M., Petroni G., and Theodorescu, D. 1998 The Bladder Tumor Antigen (BTA) test: performance in patients undergoing pelvic irradiation for non bladder malignancies. Int J Radiat Oncol Biol Phys 43(1):37-7
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- Krupsky, T., Moskałuk, C.A., Boyd, J. C. and Theodorescu, D. 2000 Classical markers and area weighted microvessel density in the prediction of clinical stage of carcinoma of the bladder. Br J Urol 85(9):1027-32
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 with the neourethral modification of the Hautmann orthotopic neobladder. J Urol 166: 1346-1349
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- Chen, C., Frierson, H. F., Haggerty, P. F., Theodorescu, D., Gregory, C. W., Dong, J.T. 2001. An 800 kb Region of Deletion at 13q14 in Human Prostate and Other Carcinomas. Genomics 77: 135-144
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- 63. Karns, L.R., Kisielewski, A., Gulding, K.M., and Theodorescu, D. 2002. Manipulation of gene expression by an ecdysone-inducible gene switch in tumor xenografts. BMC Biotechnol 1: 11
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 ablation in the treatment of clinically localized prostate cancer: Early results in high risk patients. Prostate Cancer
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- Nicholson, B. and Theodorescu, D. Molecular therapeutics in prostate cancer. Histol Histopathol, 18: 275-298, 2003
- Gildea, J. J., Harding, M. A., and Theodorescu D. 2002. RhoGDI2 is an invasion and metastasis suppressor gene in human cancer. Cancer Research 62(22):6418-23
- Woolsey, J., Miller, N., and Theodorescu, D. 2003 Permanent interstitial brachytherapy for prostate cancer: a current review. World J Urol, 21: 209-219.
- 82. Theodorescu, D. Molecular pathogenesis of urothelial bladder cancer. Histol Histopathol, 18: 259-274, 2003.
- 83. Theodorescu, D. Phosphoinositide signaling in urological disease. J Urol, 169: 2394-2396, 2003.
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- Nicholson, B. and Theodorescu, D. 2004 Angiogenesis and prostate cancer tumor growth. J Cell Biochem, 91: 125-150,
- Bassignani MJ, Moore Y, Watson L. and Theodorescu, D. 2004. Pilot Experience with Real-Time Ultrasound Guided Percutaneous Renal Mass Cryoablation J. Urol 171(4):1620-3
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- 94. Bradley E, Bissonette, E., and Theodorescu, D. 2004. Determinants of long term quality of life and voiding function of patients treated with radical prostatectomy or permanent brachytherapy for prostate cancer British J. Ural 94: 1003-1009
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- 98. Copp, H., Bissonette, E., and Theodorescu, D., 2004. Multimodality radiotherapy and androgen ablation in the treatment of clinically localized prostate cancer in high risk patients. *Urology* (in press)
- Miller, N. Bissonette, E., and Theodorescu, D. 2004. Undetectable PSA at 6-12 months: a new marker for early success in hormonally treated patients following prostate brachytherapy Cancer (in press)
- Globus, T., Theodorescu, D., Frierson, H.F. Woolard, D. 2005. Terahertz spectroscopic characterization of cancer cells. SPIE Proceedings. Vol. 5692. (in press)
- 101. Fletcher, S., and Theodorescu D. 2005. What is the best treatment for advanced prostate cancer: Surgery of radiation? Can J Urol (in press)
- 102. Theodorescu, D., Fliser, D., Wittke, S., Mischak, H. 2005. Pilot study of capillary electrophoresis coupled to mass spectrometry as tool to define potential prostate cancer biomarkers in urine. Electrophoresis. (in press)

PHD THESIS

Theodorescu, D. 1993. Mechanisms of epithelial tumor growth and metastasis: a role for transforming growth factor β. National Library of Canada. Thesis (Ph.D.)-University of Toronto, ISBN: 0315828560

SERIES EDITOR

- 1. Theodorescu, D. 2003. Followup in Urological Cancer. Urologic Clinics of North America, Volume 30
- 2. Theodorescu, D. and Pollack, A. 2003. Radiation Therapy for prostate cancer. World J Urol, Volume 21

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